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32692 Customer Number Patent Case No.: 55871US002

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

ALLEN, RICHARD C.

Application No.:

09/966557

Group Art Unit:

2872

Filed:

September 27, 2001

Examiner:

Joshua Pritchett

Title:

POLARIZATION ROTATORS, ARTICLES CONTAINING THE POLARIZATION

ROTATORS, AND METHODS OF MAKING AND USING THE SAME

#### INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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ere	by certify	that this c	orrespondenc	e is being	;			

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I transmitted by facsimile on the date shown below to the United States Patent and Tradomark Office at 571-273-8300.

 $\Delta$ 

Signed by: Kim Elfstrom

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Application No.: 09/966557

Case No.: 55871US002

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Date.

Ву:\_\_

Anna A. Kobilansky, Reg. No.: 53,146

Telephone No.: 651-737-8345

Office of Intellectual Property Counsel
3M Innovative Properties Company
Proving No. 1661 726 2822

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Substitute for form 1449A/PTO (modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number	09/966557	
Filing Date	September 27, 2001	
First Named Inventor	Allen, Richard C.	
Art Unit	2872	
Examiner Name	Joshua Pritchett	
Attorney Case Number	55871US002	

U.S. Patent Documents							
Exam.	Cilo No.	Document Number	Publication Date or Issue Date	Name of Patentee or Applicant of Cited Document	Pages, Columna, Lines, Where Relevant Passages or Relevant		
Init.*		Dac. Number-(Kind Code if Known)	MM-DD-YYYY		Figures Appear		
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OTHER DOCUMENTS						
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*Examiner:	Date Considered:

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